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Studies On Atomic And Molecular Properties Using Locally Scaled And Perdew-Zunger Self-Interaction Corrected Density Functional Approximations

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STUDIES ON ATOMIC AND MOLECULAR PROPERTIES USING LOCALLY SCALED AND PERDEW-ZUNGER SELF-INTERACTION CORRECTED DENSITY FUNCTIONAL APPROXIMATIONS

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by

Philip Oyedele

2023

To God; my source and sustainer,

To my late mother; for teaching me the ways of the lord, To my Dad; for his sacrifices, love and prayers To everyone instrumental to my growth and progress

STUDIES ON ATOMIC AND MOLECULAR PROPERTIES USING LOCALLY SCALED AND PERDEW-ZUNGER SELF-INTERACTION CORRECTED DENSITY FUNCTIONAL APPROXIMATIONS

by

PHILIP A. OYEDELE

THESIS

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Abstract

This thesis examines some properties of atoms and molecules using one-electron selfinteraction-correction (SIC) methods such as the Perdew-Zunger SIC (PZSIC) and the locally scaled SIC method of Zope and coworkers within the Fermi-Lowdin SIC formalism. The accuracy of electron density is examined by comparing moments of the density, $\langle r^n \rangle = \int \rho(r) r^n d\tau = \int_0^\infty 4\pi r^2 \rho(r) r^n dr$ $(n = -2, -1, 0, 1, 2, 3)$ with the corresponding available values from the Coupled cluster (CC) singles, doubles, and perturbative triples (CCSD(T)) method. Three test sets are considered: boron through neon neutral atoms, two and four electron cations, and 3d transition metals. Each set was tested with PBE, LDA, PZSIC-PBE, and PZSIC-LSDA functionals using default-NRLMOL basis, aug-ccpwcvtz, and aug-cc-pwcvqz basis sets. Results show that for the transition metals, PBE and LDA with default basis have smaller deviations compared to PZSIC-PBE and PZSIC-LSDA. The second part of the thesis examines the possibility of obtaining good energetics by perturbatively applying the SIC to the energy using the self-consistent density of various density functionals as well as the Hartree-Fock approximation(s). Such an approach provides significantly improved barrier heights compared to uncorrected DFAs. Our results show that the LSIC method [Zope *et al., J. Chem. Phys.* **151**, 214108 (2019)] consistently performs better than the PZSIC method. This preliminary work suggests such an approach can be useful for certain properties.

Keywords:Self-interaction error, self-interaction correction, density functionals, electron density, moments of density

Table of Contents

List of Tables

List of Figures

- [3.1 Radial Distribution of Ne with DFA-PBE and PZSIC-PBE using Default Basis](#page-41-0) 30
- [3.2 Radial Distribution of Zn with DFA-PBE and PZSIC-PBE using Default Basis](#page-42-0) 31
- 4.1 Total energies of atoms in E_h [compared against reference values](#page-48-0) 37

Chapter 1

Density Functional Theory

There are many fields within the physical sciences and engineering where the key to technological progress is understanding and controlling the properties of matter at the level of individual atoms and molecules.

Density functional theory (DFT) in its Kohn-Sham formulation^{[\[1\]](#page-54-0)} is, an exact theory for describing the ground state properties of matter and offers an attractive alternative to the wave function theory (WFT). An important difference between DFT and WFT is the principal quantity of interest. In DFT, this quantity is the ground state electron density which, unlike the wavefunction, is an observable. The computational advantage of DFT originates from the fact that electron density has three spatial coordinates, regardless of the number of electrons in the chemical system. Thus DFT allows the calculation of structures and properties of molecules with a couple of hundred atoms, a feat not generally possible with high-level WFT methods [\[2\]](#page-54-1).

1.0.1 Atomic Units

To facilitate a more concise, readable mathematical formalism, this manuscript will use *Hatree atomic units.* specifically, we shall set $|3|$

$$
\hbar = e = m_e = a_o = 1 \tag{1.0.1}
$$

where \hbar is the reduced plank constant, e the charge of the electron, a_0 the Bohr radius and m_e the mass of the electron. occasionally, however, this symbols will be included in equations for clarity[\[3\]](#page-54-2)

1.0.2 The Electronic Structure theory

From the non-relativistic quantum theory, the state of any given system is represented by a time-dependent vector in Hilbert space, $|\psi\rangle$. This vector obeys the famous Schrodinger equation

$$
\hat{H} | \psi \rangle = -i\hbar \frac{\partial}{\partial t} | \psi \rangle \tag{1.0.2}
$$

where i denotes the imaginary unit, \hbar denotes the reduced plank constant, $\frac{\partial}{\partial t}$ denotes the partial time derivatives and \hat{H} denotes the Hamiltonian operator. For the so-called stationary state Schrödinger equation gives:

$$
\hat{H} \mid \psi \rangle = E \mid \psi \rangle \tag{1.0.3}
$$

Herein E denotes the total energy of the system in the stationary state $|\psi\rangle$ [\[4\]](#page-54-3). All real atomic systems larger than hydrogen consist of multiple electrons, and all molecular systems include multiple atomic nuclei. Such a system, consisting of M nuclei and N electrons, is described by the many-body Hamiltonian[\[3\]](#page-54-2):

$$
\hat{H} = -\sum_{A}^{M} \frac{\nabla_A^2}{2m_A} - \sum_{i}^{N} \frac{\nabla_i^2}{2m_e} + \frac{1}{2} \sum_{A \neq B}^{M} \frac{Z_A Z_B}{r_{AB}} + \frac{1}{2} \sum_{i \neq j}^{N} \frac{e^2}{r_{ij}} - \sum_{i,A}^{N,M} \frac{eZ_A}{r_{ij}}
$$
(1.0.4)

$$
= T_n + T_e + V_{nn} + V_{ee} + V_{en}
$$
\n(1.0.5)

The first two terms of (1.4) are the nuclear and electronic kinetic energies, respectively. The latter three terms are the potential energy of nuclear and electronic repulsion and nuclear-electronic attraction. The wavefunction that describes such a system depends upon the positions of all the nuclei and electrons[\[3\]](#page-54-2).

Neglecting spin for the moment, the stationary state $|\psi\rangle$ can be reformulated as the many-body wave function $\psi \rangle = \psi(\vec{r}_1, \vec{r}_N, \vec{R}_1, \vec{R}_M)$ The mass of the nuclei is so enormous in comparison to the electron mass m_e that, the nuclei stand still from the electronic point of view, while the electrons themselves appear to be moving instantaneously from the perspective of the nuclei. This is referred as the Born-Oppenheimer or adiabatic approximation, and it leads to a separation of electron dynamics from nuclear dynamics, introducing the electronic Hamiltonian \hat{H}_e This electronic Hamiltonian is written as[\[4\]](#page-54-3)

$$
\hat{H}_e = \hat{T}_e + \hat{V}_{ee} + \hat{V}_{en} \tag{1.0.6}
$$

and the total energy is simply the electronic energy plus the internuclear potential, E_e+V_{nn} As the stationary nuclei still interact with the electrons via Coulomb attraction, ψ still depends parametrically upon the nuclear coordinates $R[3]$ $R[3]$

1.0.3 The Hohenberg-Kohn (HK) Theorems

The development of DFT began with the discovery of the HK theorem and according to a widely adopted point of view the HK theorem plays the central role within DFT[\[5\]](#page-54-4). The first HK theorem proved in $1964[6]$ $1964[6]$ guarantees that the ground state properties of a molecular electronic system can be fully described by the electron density[\[6\]](#page-54-5).

Theorem 1

For molecules with a nondegenerate ground state, the ground state molecular energy, wavefunction and other electronic properties are uniquely determined by the ground state electron probability density $\rho_o(r)$ The first HK theorem allows us to write down an expression for the electronic energy as a function of the density.

$$
E_o = E[\rho_o] = T[\rho_o] + V_{en}[\rho_o] + V_{ee}[\rho_o] = V_{en}[\rho_o] + F[\rho_o]
$$
\n(1.0.7)

The electron-nuclear interaction energy V_{en} is known and determined by the parametric positions of the atomic nuclei. However, the unknown functional F, containing the electron kinetic energy and correlation terms, is unknown and must be approximated. The second

HK theorem provides a variational principle for the ground state energy as a functional of the electron density, and renders the above equation practically applicable.

Theorem 2

The energy as a functional of some trial electron density, $\rho(r) \geq 0$ such that $\int dr \rho(r) = N$, provides an upper bound to the ground state energy.

$$
E_o \le E[\rho(r)]\tag{1.0.8}
$$

Furthermore, the ground state electron density is that which minimizes the energy functional, and provides the true ground state energy.

$$
E[\rho(r)] = E_o \iff \rho(r) = \rho_o(r) \tag{1.0.9}
$$

These two facts allow for the self-consistent calculation of the ground state energy of a molecular system.

1.0.4 The Kohn-Sham (KS) Equation

While the HK theorems are powerful formal statements, they must be exploited to create a practical tool for calculating energies. In 1965, Kohn and Sham devised a method for finding ρ_o and then calculating $E_o[1]$ $E_o[1]$. In principle, the method permits an exact solution, however since the unknown functionals T and V_{ee} must be approximated, the solutions are necessarily also approximate.

Kohn and Sham began by considering a reference system, s, consisting of N noninteracting electrons in an external potential $V_s(r)$, whose ground state electron density $\rho_s(r)$ is the same as the true system's ground state density $\rho_o(r)$. The Hamiltonian of the noninteracting system is:

$$
H_s = \int_i^N \left(-\frac{\nabla_i^2}{2} + V_s(r)\right) = \sum_i^N h_i^{KS} \tag{1.0.10}
$$

Furthermore, the noninteracting system's ground state wavefunction can be represented as a Slater determinant of the lowest-energy Kohn-Sham spin orbitals ψ_i^{KS} that are eigenfunctions of the one-electron Hamiltonian.

$$
\Psi_o = \mid \psi_1^{KS}, \psi_2^{KS}, \dots, \psi_N^{KS} \rangle \tag{1.0.11}
$$

$$
h_i^{KS} \psi_i^{KS} = \epsilon_i^{KS} \psi_i^{KS} \tag{1.0.12}
$$

Kohn and Sham then rewrote (1.10) by considering the deviations of the kinetic and potential energy of the real system from the reference system

$$
\Delta T[\rho] = T[\rho] - T_s[\rho] \tag{1.0.13}
$$

$$
\Delta V_{ee}[\rho] \equiv V_{ee}[\rho] - \frac{1}{2} \iint dr_1 dr_2 \frac{\rho(r_1)\rho(r_2)}{r_{1,2}} \tag{1.0.14}
$$

(1.10) can then be written as

$$
E[\rho] = T_s[\rho] + V_{en}[\rho] + U[\rho] + E_{XC}[\rho]
$$
\n(1.0.15)

where $U[\rho]$ is the classical coulomb potential and introduces the newly defined *exchange*correlation energy that characterizes the energy associated with electron exchange and correlation effects.

$$
E_{XC} \equiv \Delta T[\rho] + \Delta V_{ee}[\rho] \tag{1.0.16}
$$

As no exact form of E_{XC} is known, it must be approximated, and doing so is key to accurate DFT calculations, as well as a long-ongoing area of active research. Because the reference system is defined to have the same ground-state electron density as the true system, we may construct a guess (or 'trial') ground-state density as the superposition of probability densities of the constituent KS wavefunctions.

$$
\rho = \rho_s = \sum_{i}^{N} |\psi_i^{KS}|^2 \tag{1.0.17}
$$

Now, in a manner analogous to the HF method, we can vary ρ by varying the KS orbitals ψ_i^{KS} (subject to the constraint that they remain orthogonal) in order to minimize $E_{[\rho]}$. The orbitals that minimize the molecular ground state energy can be shown to satisfy the Kohn-Sham equations.

$$
\left(-\frac{\nabla_i^2}{2} + V_{eff}(r)\right) \mid \psi_i^{KS} = \epsilon_i \mid \psi_i^{KS} \rangle \tag{1.0.18}
$$

Thus, we have arrived at a set of N nonlinear equations that can be solved using a selfconsistent variational process akin to the HF method. The Kohn-Sham equations describe the motion of noninteracting electrons in the field of an effective potential

$$
V_{eff} \equiv V_{en}[\rho] + V_c[\rho] + V_{XC}[\rho]
$$
\n(1.0.19)

which captures the effects of the interactions of the electrons with the nuclei and each other. The unknown exchange-correlation potential is defined as the functional derivative of the exchange-correlation energy.

$$
V_{XC}[\rho] \equiv \frac{\delta E_{XC}[\rho]}{\delta \rho} \tag{1.0.20}
$$

Kohn-Sham density functional theory is analogous to HF in many ways. However, the principal difference is that where HF depends upon approximating the ground state wavefunctions using a single determinant, the electron density of DFT can be known exactly. Conversely, the effective potential of DFT must be approximated due to the exchangecorrelation term. Stated more plainly, HF is an exact theory with an approximate solution, DFT is an approximate theory with an exact solution.

1.0.5 Functional for Exchange And Correlation

Local Density Approximation LDA

The local spin density approximation[\[7,](#page-54-6) [3,](#page-54-2) [4\]](#page-54-3) LDA was developed by Kohn and Sham and it tells that, for systems where the electron density varies over space the exchange and correlation are primarily local phenomena [\[7\]](#page-54-6). for spin- unpolarized homogenous system the exchange energy is analytically as a functional of the density in the LDA approximation[\[7,](#page-54-6) [3,](#page-54-2) [4\]](#page-54-3).

$$
E_{xc}[\rho] = \int d^3r \epsilon_{xc}^{LDA}(\rho(r)) \tag{1.0.21}
$$

Where $\epsilon_{xc} = \epsilon_x + \epsilon_c$

 $\epsilon_{xc}^{LDA}(\rho(r))$ is the exchange energy density that depend on $(\rho(r))$ and is defined as:

$$
\epsilon_{xc}^{LDA}(\rho) = -\frac{3^{\frac{3}{4}}}{4\pi^{\frac{1}{3}}} \rho^{\frac{4}{3}} \tag{1.0.22}
$$

for spin polarized homogenous system the exchange energy is analytically as a functional of the density in the LDA approximation

$$
E_{xc}[\rho^{\uparrow}, \rho^{\downarrow}] = \frac{1}{2} (E_{xc}[2\rho^{\uparrow}] + E_{xc}[2\rho^{\downarrow}])
$$
\n(1.0.23)

LDA gives close to correct exchange-correlation energy to systems that have similar prop-erties to homogenous system like the close shell system, metal, and electron gas[\[4\]](#page-54-3).

Generalized Gradient Approximation, GGA

The generalized gradient approximation[\[4\]](#page-54-3) exchange-correlation energy is a functional of both the local spin densities and the local spin density gradients $[4, 3]$ $[4, 3]$ $[4, 3]$. It uses the dimensionless enhancement factor $F(s)$ as

$$
E_{xc}^{GGA}[\rho, \nabla \rho] = \int d^3r \epsilon_{xc}^{LDA}(\rho(r)) F_{xc}(s(r)) \qquad (1.0.24)
$$

where

$$
S = \frac{|\nabla \rho|}{[2(3\pi^2)^{\frac{1}{3}}\rho^{\frac{4}{3}}]}
$$
(1.0.25)

Further Exchange-Correlation Approximation

While LDA represents the simplest form of the exchange-correlation functional, and the one used by the methods discussed in this manuscript, many more sophisticated approximate functionals exist. This area in particular has historically been one of the most active areas of research in DFT. While the mathematical and physical detail of other functionals is beyond the scope of this work, a summary of developments beyond LDA and GGA includes:

local spin-density approximations (LSDA), whose construction depends upon separating the up and down spin electron densities [\[8,](#page-54-7) [9\]](#page-54-8)

hybrid functionals, first proposed by Becke [\[10\]](#page-54-9) that exploit some exact exchange results from HF theory.

And most recently, *meta-generalized-gradient approximations* that also take into account the kinetic energy density, such as the 'strongly-constrained and appropriately normed' (SCAN) approximation [\[11\]](#page-55-0).

It can be noted that each functionals possess their characteristic strengths and weaknesses, and their usefulness is heavily dependent on the system at hand $[4]$. The development of new and better functionals remains one of the main challenges in advancing density functional theory within the Kohn-Sham ansatz $[3, 4]$ $[3, 4]$ $[3, 4]$. However, instead of creating an entirely original functional, it is often more practical to add a term to those already existing functionals, in order to correct some of their more apparent shortcomings. Many of the corrections currently in use aim to eliminate the so-called self-interaction error (SIE) [\[12,](#page-55-1) [4,](#page-54-3) [7,](#page-54-6) [13,](#page-55-2) [14\]](#page-55-3). The cause of this error and the different approaches to tackle it will be the topic of the following section.

Chapter 2

Self-Interaction Corrections to Density Functional Theory

A major problem of solid-state theory and quantum chemistry is to understand the behavior of many electrons interacting via Coulomb's law

$$
\hat{V_{ee}} = \frac{1}{2} \sum_{i} \sum_{i \neq j} \frac{1}{|\vec{r}_i - \vec{r}_j|} \tag{2.0.1}
$$

In the earliest quantum-mechanical theory, Thomas and Fermi replaced the expectation value $\langle \hat{V}_{ee} \rangle$ by the direct Coulomb energy, a functional of the electron number density $\rho(r)$:

$$
U[\rho] = \frac{1}{2} \int d^3r \int d^3r' \frac{\rho(\vec{r})\rho(\vec{r'})}{|\vec{r} - \vec{r'}|}
$$
(2.0.2)

As early as 1934, Fermi and Amaldi' observed the failure of the above equation to vanish for one-electron systems due to the spurious self-interaction inherent in it, and proposed the first and crudest version of self-interaction correction $[14]$. Most of the available approximations are semilocal approximations, where the XC energy density at a certain point only depends on the density and derivatives of the density at that point. These approximations have been extensively used thanks to their computational efficiency and they generally work well, but they all contain the self-interaction error (SIE), which means that the sum of the Hartree interaction energy and the approximated XC energy does not properly vanish for all one-electron systems. SIE causes a wide range of problems, such as the XC potential decaying too fast asymptotically, the orbital energies of occupied orbitals lying too high in a nonsystematic way, and the total energy varying in a strongly nonlinear way between adjacent integer electron numbers[\[15\]](#page-55-4)

For solids, the SIE has been identified as the cause of the systematic underestimation of the semiconductor band gaps $[4]$. These gaps are calculated as differences of orbital energy eigenvalues - specifically, as the differences between the HOMO (highest occupied molecular orbital) and the LUMO (lowest unoccupied molecular orbital) energies[\[7,](#page-54-6) [13,](#page-55-2) [4\]](#page-54-3). In general, uncorrected DFT does not fulfil Koopman's theorem, which identifies the HOMO energy as the negative of the ionization potential and the LUMO energy as the negative of the electron affinity $[12, 7, 16]$ $[12, 7, 16]$ $[12, 7, 16]$ $[12, 7, 16]$ $[12, 7, 16]$

For example, in neutral systems the long-range behavior of the KohnSham (KS) potential does not reduce to the $-\frac{1}{r}$ $\frac{1}{r}$ form expected from general considerations, this and other SIEs lead to a range of related issues, sometimes referred to as delocalization errors when using DFT approximations to understand chemistry, materials, and physics $[16, 4]$ $[16, 4]$ $[16, 4]$.

Zacks and Zope put it in a more simpler term: for a charge density describing any number of electrons, a given electron will 'see' itself in any interaction it has with the whole density[\[3\]](#page-54-2). This is the basics of SIE. Eliminating SIE is thus the aim of self-interaction corrections (SIC) to density functional theory. The SIC methods, proposed by Perdew and Zunger and the approach used by Rajendra Zope[\[17\]](#page-55-6), Mark Pederson[\[13\]](#page-55-2) et al will be presented in the following subsections

2.0.1 Perdew-Zunger Self Interaction Correction

The problem of self-interaction error which occur in density functional theory such as Rydberg state missing, mis-ordering of states of some systems, incorrect description of stretch bonds, problems with unstable anions due to the fact that the sum of the Hartree interaction energy and the approximated exchange correlation energy does not properly vanish for all one-electron system[\[4\]](#page-54-3).

To relieve the effects of SIE[\[17\]](#page-55-6), Perdew and Zunger proposed a self-interaction cor-

rection (SIC) method in 1981 now known as Perdew-Zunger self-interaction correction (PZSIC)[\[17\]](#page-55-6). PZSIC seeks to correct SIE by subtracting the self-Coulomb and self-exchange energies on an orbital-by-orbital basis[\[3\]](#page-54-2).

$$
E_{XC}^{PZSIC} = E_{XC} - \sum_{i} (U[\rho_i] - E_{XC}[\rho_i])
$$
\n(2.0.3)

2.0.2 Fermi Lowdin Self-Interaction Correction

For a Perdew-Zunger self-interaction-corrected XC functional to find the true minimum energy in a variational calculation, it has been shown that the molecular orbitals used to construct ρ must satisfy the localization equation [\[18,](#page-55-7) [19\]](#page-55-8)

$$
\langle \psi_i | V_i^{PZSIC} - V_j^{SIC} | \psi_i \rangle = 0 \tag{2.0.4}
$$

The computational difficulty of satisfying this constraint using KS orbitals led to the development of Fermi-Lowdin SIC (FLO-SIC)[\[3\]](#page-54-2) which uses a Lowdin-orthogonalized set of Fermi orbitals, that are localized by construction. For a given set of KS orbitals, a Fermi orbital (FO) can be defined at any point in space a_i as

$$
F_{i\sigma}(r) = \frac{\sum_{j} \psi_{j\sigma}^*(a_i) \psi_{j\sigma}(r)}{\sqrt{\sum_{j} |\psi_{j\sigma}(a_i)|^2}} = \frac{\rho_{\sigma}(a_i, r)}{\sqrt{\rho_{\sigma}(a_i)}}\tag{2.0.5}
$$

where σ is the spin index and the point a_i is called the Fermi orbital descriptor (FOD). The FO is constructed by a relatively simple transformation of the KS orbitals, and is essentially the ratio of the one-electron spin density to the square root of the total spin-density.

The principal advantage of the FLO-SIC method over PZSIC is its computational efficiency. Optimizing a set of N FOs requires optimizing $3N$ FODs, compared to the N^2 parameters that must be optimized to satisfy the localization equation (2.4) with normal KS orbitals.

2.0.3 The Paradox of SIC and Local-Scaling SIC

A curious side effect of SIC-DFT calculations is the appearance of the so-called paradox of SIC, in which SIC tends to improve the accuracy of some energetic predictions, while degrading others. In particular, SIC-DFT tends to worsen equilibrium molecular properties of molecules and solids such as atomization energies and equilbrium geometries, and this degradation of accuracy only increases as a DFT calculation climbs Jacob's ladder and SIC is applied to semilocal and hybrid XC functionals. On the other hand, SIC-DFT performs well for nonequilibrium geometries such as stretched molecular bonds.

In 2019, Zope and coworkers were able to partially resolve the paradox by implementing a more selective SIC referred to as local-scaling SIC (LSIC) [\[19\]](#page-55-8). Fundamentally, LSIC corrects SIE in the same orbital wise fashion as PZSIC:

$$
E_{XC}^{LSIC-DFA} = E_{XC}^{DFA}[\rho_{\uparrow}, \rho_{\downarrow}] - \sum_{i} (U^{LSIC}[\rho_{i\sigma}] - E_{XC}^{LSIC}[\rho_{i\sigma,0}])
$$
(2.0.6)

However, the correction is scaled throughout space in such a way that it is only applied in regimes where it is actually needed $[19]$.

$$
U^{LSIC}[\rho_{i\sigma}] = \frac{1}{2} \iint dr_1 dr_2 \frac{(z_{\sigma}(r_1))^k \rho_{i\sigma}(r_1) \rho_{i\sigma}(r_2)}{r_{12}} \tag{2.0.7}
$$

$$
E_{XC}^{LSIC}[\rho_{i\sigma,0}] = \int dr (Z_{\sigma}(r_1))^k \rho_{i\sigma}(r) \epsilon_{XC}^{DFA}([\rho_{i\sigma,0}], r)
$$
(2.0.8)

The titular local-scaling comes in the form of the factor z_{σ} which is defined as the ratio of the von Weizsacker kinetic energy density and the Kohn-Sham kinetic energy density[\[3\]](#page-54-2).

$$
z_{\sigma} \equiv \frac{\tau_{\sigma}^{W}(r)}{\tau_{\sigma}(r)} \tag{2.0.9}
$$

The noninteracting (Kohn-Sham) kinetic energy density is given as

$$
\tau_{\sigma}(r) = \frac{1}{2} \sum_{i} |\nabla \psi_{i\sigma}(r)|^{2}
$$
\n(2.0.10)

and the von Weiszacker kinetic energy density is the single-orbital limit of $\tau_{\sigma}(r)$

$$
\tau_{\sigma}^{W}(r) = \frac{|\nabla \rho_{i\sigma}(r)|^{2}}{8\rho_{\sigma}(r)}\tag{2.0.11}
$$

By definition, $z_{\sigma}\epsilon[0,1]$, where $z_{\sigma}=0$ corresponds to uniform densities, and $z_{\sigma}=1$ to one-electron densities. For this reason, z_{σ} is called an iso-orbital indicator, as it detects single-orbital regions of the electron density. The exponent k is a tunable parameter. In particular, $k = 0$ disables the scaling completely and recovers the PZSIC limit, while $k \to \infty$ reduces to a standard DFA by zeroing out SIC entirely. The simplest choice of $k = 1$ interpolates smoothly between the uniform density and one-electron limits.

Chapter 3

Accuracy of PZSIC and Kohn-Sham Density

The success of Kohn-Sham (KS) density functional theory (DFT) depends upon three[\[20\]](#page-56-0), inter-related quantities: the functional (E_{XC}) , the potential (V_{XC}) , usually defined as the functional derivative, and the density represented by the first n-KS orbitals, $\rho(x_1) = \sum_i |$ ψ_i ² generated by the selfconsistent potential. If all are exact, then so is the total ground state energy. The functionals can be reasonably accurate for many problems, but the potential is more sensitive to a correct description of exchange and correlation; and if that potential is not accurate, then the orbitals to construct the density will not be either[\[20\]](#page-56-0).

Although density functional theory (DFT)is one of the important methods for the study of the electronic structures of molecules,[\[21\]](#page-56-1) it is difficult to improve the approximations and corrections embedded therein[\[22,](#page-56-2) [23,](#page-56-3) [24\]](#page-56-4). We have previously suggested that an accurate representation of the electron density can serve as the basis for the development of functionals for use in DFT[\[23\]](#page-56-3). The advantage of this approach is that the electron density is a scalar quantity covering all space and can thus provide much more information than integrated quantities such as the total energy. Also, the electron density is more sensitive to the errors introduced by the use of an approximate wave function than the total energy. An accurate density will in general, result in good values for the energy as well as other related quantities, whereas a low energy does not have to be associated with an accurate total density[\[22,](#page-56-2) [23\]](#page-56-3).

Kohn–Sham density functional theory (KS-DFT)[\[25\]](#page-56-5) has been very successful for calculating energetic quantities like reaction energies and barrier heights and for calculating geometries of molecules and lattice constants of solids.[\[25\]](#page-56-5) A question that has been less well studied is, how accurate are the electron densities that it predicts?[\[26\]](#page-56-6).

Pragya Verma and Donald G. Truhlar $[26]$ said the first nonzero moment of the charge density of a neutral molecule is its dipole moment $[27]$, and so – before one considers more detailed characteristics of charge distributions – it is important to first examine how well KS-DFT can predict that leading moment[\[26,](#page-56-6) [27\]](#page-57-0). Furthermore, an exchange-correlation functional that leads to an accurate representation of the charge distribution of a molecule should accurately predict the dipole moments of the molecule[\[26\]](#page-56-6)

It is well known that Kohn–Sham density functional theory (DFT) is more accurate for the energetics of single-reference systems than for the energetics of multi-reference ones[\[26\]](#page-56-6), but there has been less study of charge distributions. Verma in his work benchmarked 48 density functionals using organic and inorganic molecules, all the 48 methods tested here were found to give good performance for single-reference molecules. For other multireference molecules, functionals with high HF exchange or high local exchange were found to give large errors. He concluded that there is not a lot of difference in the ability of various density functionals to represent the first moment of the electron density. Furthermore, all density functionals he tested so far are considerably better for charge distributions of single-reference molecules than for charge distributions of multireference molecules[\[26\]](#page-56-6).

Xuefei Xu et al[\[28\]](#page-57-1) concluded in their work that conventional CC methods including CCSD- (T) , CCSDT, and CCSDT (2) Q have performance (judged by comparison to available experimental data) only comparable to, but not necessarily better than KS density functional calculations with a wide set of choices of xc functionals, and they cannot be assumed to provide validated benchmarks for KS theory.[\[28\]](#page-57-1).

3.0.1 Expectation Values of Densities

The correctness of the density is a logical figure of merit in electronic structure theory and is the objective for this contribution. One can view the KS-DFT equations as an approxi-mation to a correlated orbital theory^{[\[29,](#page-57-2) [30\]](#page-57-3)} (COT) whose effective one-particle equations contain a potential for electron correlation, along with the kinetic energy and exchange contributions and the classical coulomb interaction $h_x = \hat{t} + \hat{v} + \hat{J} + \hat{V}_x + \hat{V}_c = \hat{t} + \hat{V}_s$. In KS-DFT as long as the energy functional is convex, it should provide an energy that is correct to the second order in $\rho(r)$. However, the potential $V_s(r) = \frac{\partial E}{\partial \rho(r)}$, used to generate the orbitals in the Kohn–Sham (KS) determinant is only accurate to the first order in $\rho(r)$ This raises concern about the accuracy of the potential [\[30\]](#page-57-3). Modern day KS-DFT calculations pay little attention to the accuracy of the V_{xc} , and consequently, the orbitals and the density $\rho(r)$ they define can suffer. Construction of many density functionals is semi-empirically adjusted to produce accurate total energy properties, particularly, thermochemistry. Recent publications by Medvedev *et al* $[31]$ and Brorsen *et al* $[32]$ suggest that after the year 2000, there is a decrease in the accuracy of the density produced by such modern semiempirical functionals. in other words, recent functional development has strayed from the path of better approximations to the exact functional. The recent study of Medvedev et al [\[31\]](#page-57-4) used "normalized" maximum errors, or root mean square deviation of the density, gradient of the density, and Laplacian of the density of beryllium through neon neutral atoms and cations to rank the density functionals compared to coupled cluster singles and doubles $(CCSD)$. [\[31,](#page-57-4) [30,](#page-57-3) [33\]](#page-57-6) A similar analysis by Kallay *et al* recently used a composite coupled cluster (CC) singles, doubles, triples, and quadruples (CCSDTQ) as reference density for some atoms and a small collection of molecules.[\[34\]](#page-58-0) Brorsen et al [\[32\]](#page-57-5) argue that many atoms in the study of Medvedev et al. are positively charged and the bulk of the density is localized in core regions which can cancel in a reaction. Therefore, the accuracy of the electron density near the valence region is deemed more important and in this work, the density of interest is the all-electron (core $+$ valence) density.

There are several differences in the present study on the accuracy of densities compared to previous publications. [\[31,](#page-57-4) [32,](#page-57-5) [34,](#page-58-0) [35,](#page-58-1) [36,](#page-58-2) [37\]](#page-58-3) First, the reference for the correct density is CCSD(T) which can be readily evaluated for essentially any system of interest. Why CCSD(T)? Couple Cluster (CC) theory is usually considered to be the most accurate and most powerful electronic structure method that is applicable to moderate-sized molecules, and it has been successfully applied for the prediction and in-depth understanding of chemical structure, properties, reactivity, and mechanisms[\[28\]](#page-57-1). CC theory becomes exact as one includes successively higher and higher excitation operators (double, triple, quadruple, ...), but for many complex systems, CC calculations are not affordable (although recent advances are changing this situation dramatically), and even when such calculations are affordable, one is often limited to double excitations with a quasi-perturbative treatment of connected triple excitations. However, this theory, called $CCSD(T)[38]$ $CCSD(T)[38]$, is very successful and has even been called the "gold standard" of quantum chemistry[\[38,](#page-58-4) [28\]](#page-57-1). The trust in $CCSD(T)$ calculations is so high that, in the absence of experimental data, $CCSD(T)$ results are frequently used as reference values (also called benchmark values) of chemical properties; for example, CCSD(T) calculations are often used for validation of the accuracy of other theoretical methods, such as KS methods [\[39,](#page-58-5) [28\]](#page-57-1)

Second, the study includes 3d transition metals. Third, instead of taking pointwise differences on a grid relative to a reference density to evaluate the accuracy of a method, following, Schweigert *et al* $|40|$ the difference is assessed by computing the expectation value of r^n , $\langle r^n \rangle$ where r is the distance from the nucleus and $n = -2, -1, 0, 1, 2, 3$. The performance of PBE functional is compared to PZIC PBE and PZSIC LSDA while CCSD(T) as reference.

$$
\langle r^n \rangle = \int \rho(r)r^n d\tau = \int_0^\infty 4\pi r^2 \rho(r)r^n dr \tag{3.0.1}
$$

The $\langle r^n \rangle$ moments of the density can be interpreted as representing different regions in space, particularly, the short- and long-range behavior of the density $\rho(r)$ The values $n < 0$ weigh more toward emphasizing the core region and the values $n > 0$ weigh the valence region of the density.

Density functional approximations PBE[\[41\]](#page-58-7), and LDA[41] are considered in this study along with PZSIC PBE[\[42\]](#page-59-0) and PZSIC LSDA[42]. The focus of this work is to study and assess the accuracy of PZSIC densities.

In this work, we consider three test sets. The first test set consists of neutral atoms:

B, C, N, O, F, and Ne. The second test set consists of cations with 2 and 4 electrons: B^+ , C^{2+} , N^{3+} , O^{4+} , F^{5+} , Ne^{6+} , B^{3+} , C^{4+} , N^{5+} , O^{6+} , F^{7+} , and Ne^{8+} . The third test set consists of the neutral ground state transition metals Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, and Zn. The summary is in the table below:

Test Sets	Systems
Set1	B, C, N, O, F , and Ne.
Set2a	B^+ , C^{2+} , N^{3+} , O^{4+} , F^{5+} , and Ne^{6+} .
Set2b	$\overline{B^{3+}, C^{4+}, N^{5+}, O^{6+}, F^{7+}, \text{ and } N e^{8+}}.$
Set ₃	Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, and Zn.

Table 3.1: Test Sets for Calculation of Expectation values

For the three sets, the DEFAULT-NRLMOL basis, aug-cc-pwCVQZ[\[30\]](#page-57-3), and aug-ccpwCVTZ[\[30\]](#page-57-3) basis sets were used for the calculations. The KS-DFT calculations are performed with the NRLMOL program package. One assumes that CCSD(T) calculations provide the best reference density [\[38,](#page-58-4) [28\]](#page-57-1). Hence, the deviation of $\langle r^n \rangle$ compared to results from $\text{CCSD}(T)[38]$ $\text{CCSD}(T)[38]$ is one measure of the accuracy of the density. Another is the comparison to the radial distribution functions themselves.

For the purpose of brevity to avoid long tables, the basis set will be represented with symbols

Basis Sets	Symbols
Default Basis	a.
Aug-cc-pwcyqz	
Aug-cc-pwcytz	C

Table 3.2: Basis Sets representation

The result obtained are presented in the following tables

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System	Basis	R^{-2}	R^{-1}	$\overline{R^0}$	R^1	R^2	$\overline{R^3}$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
Ne	\mathbf{a}	415.2076	31.0916	10.0000	8.0027	9.8302	15.9557	31.1036	0.04
	$\mathbf b$	415.1240	31.0881	10.0000	8.0043	9.8406	16.0382	31.1036	0.05
	\mathbf{c}	414.7148	31.0829	10.0000	8.0059	9.8467	16.0631	31.1036	0.07
\mathbf{F}	\mathbf{a}	331.3192	26.5030	9.0000	7.8846	10.7323	19.2835	26.5131	0.04
	$\mathbf b$	331.2609	26.5014	9.0000	7.8847	10.7394	19.3773	26.5131	0.04
	\mathbf{c}	330.8505	26.4939	9.0000	7.8894	10.7609	19.4916	26.5131	0.07
Ω	\mathbf{a}	257.4288	22.2472	8.0000	7.7101	11.7004	23.3446	22.2580	0.05
	$\mathbf b$	257.4336	22.2447	8.0000	7.7139	11.7262	23.5447	22.2580	0.06
	\mathbf{c}	256.7026	22.1714	8.0000	7.8349	12.2475	25.7300	22.2580	0.39
Ni	\mathbf{a}	193.4038	18.3254	7.0000	7.4334	12.5745	27.6240	18.3400	0.08
	\mathbf{c}	193.1533	18.3218	7.0000	7.4373	12.6079	27.9206	18.3400	0.10
	$\mathbf b$	193.3915	18.3248	7.0000	7.4356	12.5943	27.7990	18.3400	0.08
\mathcal{C}	\mathbf{a}	138.9391	14.6862	6.0000	7.1918	14.1685	36.2147	14.6988	0.09
	$\mathbf b$	138.9483	14.6859	6.0000	7.1966	14.2166	36.6409	14.6988	0.09
	\mathbf{c}	138.7929	14.6839	6.0000	7.1984	14.2296	36.7553	14.6988	0.10
$\, {\bf B}$	\mathbf{a}	93.8111	11.3800	5.0000	6.8051	15.9524	48.6200	11.3936	0.12
	$\mathbf b$	93.8174	11.3777	5.0000	6.8191	16.0665	49.5507	11.3936	0.14
	\mathbf{c}	93.7053	11.3784	5.0000	6.8115	16.0219	49.3384	11.3935	0.13

Table 3.3: Expectation values of Set1 with DFA-PBE

Table 3.4: Expectation values of Set1 with PZSIC-LSDA

System	Basis	R^{-2}	R^{-1}	$\overline{R^0}$	$\overline{R^1}$	$\overline{R^2}$	$\overline{R^3}$	$CCSD(T)R^{-1}$	$\sqrt[n]{ErrR^{-1}}$
Ne	\mathbf{a}	414.4972	31.1788	10.0000	7.8455	9.2743	14.1812	31.1036	-0.24
	\mathbf{c}	414.6949	31.1846	10.0000	7.8456	9.2761	14.1887	31.1036	-0.26
	$\mathbf b$	414.6853	31.1842	10.0000	7.8457	9.2762	14.1888	31.1035	-0.26
F	\mathbf{a}	330.9344	26.5866	9.0000	7.7173	10.0833	17.0047	26.5130	-0.28
	$\mathbf c$	330.9344	26.5867	9.0000	7.7173	10.0833	17.0047	26.513	-0.28
	$\mathbf b$	330.9344	26.5867	9.0000	7.7173	10.0833	17.0047	26.5131	-0.28
Ω	\mathbf{a}	257.1392	22.3209	8.0000	7.5404	10.9793	20.5734	22.2580	-0.28
	$\mathbf c$	257.1392	22.3209	8.0000	7.5404	10.9793	20.5734	22.2580	-0.28
	$\mathbf b$	257.1392	22.3209	8.0000	7.5404	10.9793	20.5734	22.2580	-0.28
Ni	\mathbf{a}	193.1799	18.3875	7.0000	7.2796	11.8741	24.7318	18.3400	-0.26
	C	193.1799	18.3875	7.0000	7.2796	11.8741	24.7318	18.3400	-0.26
	$\mathbf b$	193.1799	18.3875	7.0000	7.2796	11.8741	24.7318	18.3400	-0.26
\mathcal{C}	\mathbf{a}	138.7408	14.7383	6.0000	7.0309	13.3369	32.3302	14.6988	-0.27
	\mathbf{c}	138.7281	14.7367	6.0000	7.0329	13.3451	32.3608	14.6988	-0.26
	$\mathbf b$	138.7366	14.7379	6.0000	7.0312	13.3381	32.3346	14.6988	-0.27
B	\mathbf{a}	93.6941	11.4223	5.0000	6.6481	15.0063	43.4250	11.3936	-0.25
	$\mathbf c$	93.6941	11.4223	5.0000	6.6481	15.0063	43.4250	11.3936	-0.25
	$\mathbf b$	93.6941	11.4222	5.0000	6.6481	15.0063	43.4250	11.3936	-0.25

System	Basis	R^{-2}	R^{-1}	R^0	R^1	$\,R^2$	$\,R^3$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
Ne	\mathbf{a}	414.4821	31.0987	10.0000	7.9006	9.4067	14.4952	31.1036	0.02
	$\mathbf c$	414.4821	31.0987	10.0000	7.9006	9.4067	14.4952	31.1036	0.02
	$\mathbf b$	414.4821	$31.0987\,$	10.0000	7.9006	9.4067	14.4952	31.1035	0.02
\mathbf{F}	\mathbf{a}	330.7770	26.5156	9.0000	7.7740	10.2309	17.3786	26.5131	-0.01
	$\mathbf c$	330.5322	26.5006	9.0000	7.7810	10.2493	17.4246	26.5130	0.05
	$\mathbf b$	330.7770	26.5155	9.0000	7.7740	10.2309	17.3786	26.5130	-0.01
Ω	\mathbf{a}	257.0186	22.2629	8.0000	7.5991	11.1461	21.0209	22.2581	-0.02
	$\mathbf c$	257.0186	22.2628	8.0000	7.5991	11.1461	21.0209	22.2580	-0.02
	$\mathbf b$	257.0186	22.2628	8.0000	7.5991	11.1461	21.0208	22.2580	-0.02
Ni	\mathbf{a}	193.1077	18.3384	7.0000	7.3486	12.1012	25.4340	18.3400	0.01
	\mathbf{c}	193.1077	18.3384	7.0000	7.3486	12.1012	25.4340	18.3400	0.01
	$\mathbf b$	193.1077	18.3384	7.0000	7.3486	12.1012	25.4341	18.3400	0.01
\mathcal{C}	\mathbf{a}	138.6218	14.7018	6.0000	7.1015	13.6071	33.2729	14.6988	-0.02
	\mathbf{c}	138.6218	14.7018	6.0000	7.1015	13.6071	33.2729	14.6988	-0.02
	$\mathbf b$	138.6218	14.7018	6.0000	7.1015	13.6071	33.2729	14.6988	-0.02
\boldsymbol{B}	\mathbf{a}	93.5775	11.3988	5.0000	6.7142	15.3098	44.6656	11.3936	-0.05
	$\mathbf c$	93.5775	11.3988	5.0000	6.7142	15.3098	44.6656	11.3936	-0.05
	$\mathbf b$	93.5774	11.3988	5.0000	6.7142	15.3098	44.6656	11.3936	-0.05

Table 3.5: Expectation values of Set1 with PZSIC-PBE

Table 3.6: Expectation values of Set1 with DFA-LDA using default basis

System	R^{-2}	R^{-1}	$R^{\rm{U}}$	R ¹	R^2	$\,R^3$	$CCSD(T)R^{-}$	$\%ErrR^{-1}$
B	92.44263	11.30304	5.0000	6.83934	16.11308	49.30866	11.39359	0.79
\mathcal{C}	137.18534	14.60756	6.0000	7.20961	14.22438	36.33558	14.6988	0.62
Ni	191.26562	18.24564	7.0000	7.44698	12.60774	27.64547	18.34004	0.51
	254.92965	22.15974	8.0000	7.72765	11.74121	23.38411	22.25804	0.44
	328.40300	26.41291	9.0000	7.89565	10.74284	19.21651	26.51308	0.38
Ne	411.87457	30.99827	10.0000	8.01488	9.84766	15.93488	31.10355	0.34

System	Basis	R^{-2}	R^{-1}	R^0	$\,R^1$	$\,R^2$	$\,R^3$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
$\overline{Ne^{6+}}$	\mathbf{a}	411.6825	23.4370	4.0000	1.7315	1.2432	1.1249	23.4396	0.01
	\mathbf{c}	410.9172	23.4361	4.0000	1.7313	1.2429	1.1243	23.4395	0.01
	$\mathbf b$	411.1184	23.4368	4.0000	1.7312	1.2428	1.1239	23.4395	0.01
F^{5+}	a	330.1928	20.9378	4.0000	1.9596	1.6001	1.6483	20.9391	0.01
	$\mathbf c$	329.4766	20.9367	4.0000	1.9591	1.5995	1.6470	20.9391	0.01
	$\mathbf b$	329.7693	20.9395	4.0000	1.9587	1.5984	1.6449	20.9391	0.00
$\overline{O^{4+}}$	\mathbf{a}	257.5334	18.4376	4.0000	2.2577	2.1377	2.5568	18.4385	0.01
	\mathbf{c}	256.9906	18.4358	4.0000	2.2573	2.1374	2.5560	18.4385	0.01
	$\mathbf b$	257.3152	18.4388	4.0000	2.2568	2.1359	2.5531	18.4385	0.00
N^{3+}	\mathbf{a}	193.9979	15.9359	4.0000	2.6654	3.0064	4.2906	15.9377	0.01
	\mathbf{c}	193.7032	15.9361	4.0000	2.6647	3.0051	4.2884	15.9376	0.01
	$\mathbf b$	193.8599	15.9364	4.0000	2.6649	3.0059	4.2897	15.9377	0.01
$\overline{C^{2+}}$	\mathbf{a}	139.4451	13.4343	4.0000	3.2578	4.5522	8.0703	13.4363	0.01
	$\mathbf c$	139.3757	13.4368	4.0000	3.2559	4.5461	8.0527	13.4363	0.00
	$\mathbf b$	139.4437	13.4359	4.0000	3.2568	4.5491	8.0613	13.4363	0.00
B^+	\mathbf{a}	94.0207	10.9320	4.0000	4.2069	7.7588	18.2395	10.9335	0.01
	$\mathbf c$	93.7966	10.9176	4.0000	4.2209	7.8145	18.4344	10.9335	0.15
	$\mathbf b$	94.0171	10.9326	4.0000	4.2073	7.7616	18.2474	10.9335	0.01

Table 3.7: Expectation values of Set2a with PZSIC-PBE

Table 3.8: Expectation values of Set2a with DFA-PBE using Default basis

System	R^{-2}	R^{-1}	R^0	$R^{\scriptscriptstyle{1}}$	$\,R^2$	$\,R^3$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
B^+	94.18063	10.91566	4.0000	4.21903	7.80540	18.44864	10.93351	0.16
$\overline{C^{2+}}$	139.60964	13.41853	4.0000	3.26378	4.56779	8.12222	13.43626	0.13
N^{3+}	194.05954	15.91899	4.0000	2.66950	3.01513	4.31337	15.93769	0.12
O^{4+}	257.48357	18.41881	4.0000	2.26110	2.14386	2.56971	18.43854	0.11
F^{5+}	330.03506	20.92033	4.0000	1.96203	1.60398	1.65549	20.93912	0.09
Ne^{6+}	411.52590	23.42062	4.0000	1.73340	1.24583	1.12930	23.43957	0.08

Table 3.9: Expectation values of Set2a with DFA-LDA using default basis

System	Basis	R^{-2}	R^{-1}	R^0	R^1	$\,R^2$	$\,R^3$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
Ne^{6+}	\mathbf{a}	411.7987	23.4472	4.0000	1.7274	1.2366	1.1161	23.4396	-0.03
	$\mathbf c$	411.7988	23.4472	4.0000	1.7274	1.2366	1.1161	23.4396	-0.03
	$\mathbf b$	411.7988	23.4471	4.0000	1.7274	1.2365	1.1160	23.4395	-0.03
F^{5+}	\mathbf{a}	330.2977	20.9481	4.0000	1.9542	1.5903	1.6335	20.9391	-0.04
	$\mathbf c$	330.2962	20.9480	4.0000	1.9542	1.5903	1.6335	20.9391	-0.04
	$\mathbf b$	330.2813	20.9474	4.0000	1.9544	1.5906	1.6338	20.9391	-0.04
$\overline{O^{4+}}$	\mathbf{a}	257.6707	18.4487	4.0000	2.2505	2.1228	2.5308	18.4385	-0.06
	$\mathbf c$	257.5747	18.4437	4.0000	2.2518	2.1255	2.5354	18.4385	-0.03
	$\mathbf b$	257.5468	18.4422	4.0000	2.2522	2.1262	2.5368	18.4385	-0.02
N^{3+}	\mathbf{a}	194.1737	15.9494	4.0000	2.6548	2.9805	4.2373	15.9377	-0.07
	$\mathbf c$	194.1796	15.9498	4.0000	2.6546	2.9802	4.2366	15.9377	-0.08
	$\mathbf b$	194.1795	15.9498	4.0000	2.6546	2.9802	4.2366	15.9377	-0.08
$\overline{C^{2+}}$	\mathbf{a}	139.6544	13.4508	4.0000	3.2405	4.5003	7.9382	13.4363	-0.11
	с	139.6543	13.4508	4.0000	3.2405	4.5003	7.9382	13.4363	-0.11
	$\mathbf b$	139.6545	13.4507	4.0000	3.2405	4.5003	7.9382	13.4363	-0.11
B^+	\mathbf{a}	94.0161	10.9318	4.0000	4.1945	7.7116	18.0988	10.9335	0.02
	$\mathbf c$	94.0160	10.9317	4.0000	4.1945	7.7116	18.0989	10.9335	0.02
	$\mathbf b$	94.0161	10.9318	4.0000	4.1946	7.7116	18.0989	10.9335	0.02

Table 3.10: Expectation values of Set2a with PZSIC-LSDA

Table 3.11: Expectation values of Set2b with PZSIC-PBE

System	Basis	R^{-2}	R^{-1}	$\overline{R^0}$	R^1	$\overline{R^2}$	$\overline{R^3}$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
Ne^{8+}	\mathbf{a}	379.1373	19.3768	2.0000	0.3119	$6.5E-02$	1.7E-02	19.3745	-0.01
	\mathbf{c}	378.3279	19.3747	2.0000	0.3117	6.5E-02	1.7E-02	19.3745	0.00
	$\mathbf b$	378.4985	19.3749	2.0000	0.3116	6.5E-02	1.7E-02	19.3745	0.00
F^{7+}	\mathbf{a}	305.2221	17.3769	2.0000	0.3480	8.1E-02	2.4E-02	17.3745	-0.01
	\mathbf{c}	304.5079	17.3745	2.0000	0.3478	$8.1E-02$	2.4E-02	17.3745	0.00
	$\mathbf b$	304.6874	17.3749	2.0000	0.3478	8.1E-02	2.4E-02	17.3745	0.00
$\overline{O^{6+}}$	\mathbf{a}	239.1462	15.3763	2.0000	0.3935	1.0E-01	3.4E-02	15.3745	-0.01
	\mathbf{c}	238.6204	15.3738	2.0000	0.3935	1.0E-01	3.4E-02	15.3745	0.01
	$\mathbf b$	238.8772	$15.3750\,$	2.0000	0.3934	1.0E-01	3.4E-02	15.3745	0.00
N^{5+}	\mathbf{a}	181.2291	13.3759	2.0000	0.4529	1.4E-01	5.3E-02	13.3745	-0.01
	\mathbf{C}	180.8993	13.3742	2.0000	0.4529	1.4E-01	5.3E-02	13.3745	0.00
	$\mathbf b$	181.0631	13.3751	2.0000	0.4528	1.4E-01	5.3E-02	13.3745	0.00
$\overline{C^{4+}}$	\mathbf{a}	131.2909	11.3752	2.0000	0.5334	1.9E-01	8.6E-02	11.3746	-0.01
	\mathbf{C}	131.1588	11.3747	2.0000	0.5334	1.9E-01	8.6E-02	11.3746	0.00
	$\mathbf b$	131.2488	11.3752	2.0000	0.5334	1.9E-01	8.6E-02	11.3745	-0.01
B^{3+}	\mathbf{a}	89.4641	9.3753	2.0000	0.6488	$2.8E-01$	$1.6E-01$	9.3746	-0.01
	\mathbf{C}	89.3750	9.3749	2.0000	0.6487	2.8E-01	$1.6E-01$	9.3746	0.00
	$\mathbf b$	89.4321	9.3753	2.0000	0.6487	$2.8E-01$	$1.6E-01$	9.3746	-0.01

		10000							
System	Basis	R^{-2}	R^{-1}	$\overline{R^0}$	R^1	R^2	$\overline{R^3}$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
Ne^{8+}	\mathbf{a}	379.2531	19.3795	2.0000	0.3118	6.5E-02	1.7E-02	19.3745	-0.03
	$\mathbf c$	378.4549	19.3775	2.0000	0.3117	6.5E-02	1.7E-02	19.3745	-0.02
	$\mathbf b$	378.6281	19.3775	2.0000	0.31166	6.5E-02	1.7E-02	19.37453	-0.02
F^{7+}	\mathbf{a}	305.3365	17.3799	2.0000	0.3479	8.1E-02	2.4E-02	17.37452	-0.03
	\mathbf{c}	305.3365	17.3799	2.0000	0.3479	8.1E-02	2.4E-02	17.37452	-0.03
	$\mathbf b$	305.3365	17.3799	2.0000	0.3479	8.1E-02	2.4E-02	17.3745	-0.03
$\overline{O^{6+}}$	\rm{a}	239.2618	15.3796	2.0000	0.3935	$1.0E-01$	3.4E-02	15.3745	-0.03
	$\mathbf c$	239.2618	15.3796	2.0000	0.3935	$1.0E-01$	$3.4\mathrm{E}{\text{-}}02$	15.3745	-0.03
	$\mathbf b$	239.26176	15.3796	2.0000	0.3935	$1.0E-01$	$3.4\mathrm{E}{\text{-}}02$	15.3745	-0.03
N^{5+}	\mathbf{a}	181.3462	13.3797	2.0000	0.4528	1.4E-01	5.3E-02	13.3745	-0.04
	$\mathbf c$	181.3462	13.3797	2.0000	0.4528	1.4E-01	$5.3\mathrm{E}{\text{-}02}$	13.3745	-0.04
	$\mathbf b$	181.3462	13.3797	2.0000	0.4528	1.4E-01	5.3E-02	13.3745	-0.04
$\overline{C^{4+}}$	\mathbf{a}	131.4104	11.3796	2.0000	0.5333	1.9E-01	8.6E-02	11.3745	-0.04
	\mathbf{C}	131.4104	11.3796	2.0000	0.5332	1.9E-01	8.6E-02	11.3745	-0.04
	$\mathbf b$	131.4104	11.3796	2.0000	0.5332	1.9E-01	8.6E-02	11.3745	-0.04
B^{3+}	a	89.5808	9.3805	2.0000	0.6485	$2.8E-01$	$1.6E-01$	9.3746	-0.06
	с	89.5808	9.3805	2.0000	0.6486	$2.8E-01$	$1.6E-01$	9.3746	-0.06
	$\mathbf b$	89.5808	9.3805	2.0000	0.6486	2.8E-01	1.6E-01	9.3746	-0.06

Table 3.12: Expectation values of Set2b with PZSIC-LSDA

Table 3.13: Expectation values of Set2b with DFA-PBE using default basis

System	R^{-2}	R^{-1}	R^0	$R^{\scriptscriptstyle{\mathrm{I}}}$	$\,R^2$	$\,^3$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
B^{3+}	89.64855	9.36231	2.0000	0.65313	0.28877	$1.6E-01$	9.37463	0.13
$\overline{C^{4+}}$	131.48918	11.36190	2.0000	0.53632	0.19417	$8.9E-02$	11.37455	0.11
$\overline{N^{5+}}$	181.33980	13.36063	2.0000	0.45499	0.13947	$5.4F-02$	13.37454	0.10
O^{6+}	239.22201	15.36112	2.0000	0.39505	0.10499	$3.5E-02$	15.37453	0.09
F^{7+}	305.21201	17.36152	2.0000	0.34912	0.08190	$2.4E-02$	17.37452	0.07
Ne^{8+}	379.12317	19.36147	2.0000	0.31274	0.06567	$1.7E-02$	19.37453	0.07

Table 3.14: Expectation values of Set2b with DFA-LDA using default basis

System	Basis	R^{-2}	R^{-1}	$\overline{R^0}$	$\overline{R^1}$	R^2	R^3	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
Sc	\mathbf{a}	2032.000	85.825	21	20.720	47.678	179.295	85.699	-0.15
	\mathbf{C}	2032.020	85.829	21	20.706	47.573	178.593	85.699	-0.15
	$\mathbf b$	2032.020	85.829	21	20.706	47.573	178.593	85.699	-0.15
Ti	\rm{a}	2241.523	91.593	$22\,$	20.637	44.039	156.078	91.407	-0.20
	\rm{c}	2241.518	$\boldsymbol{91.596}$	22	20.624	43.964	155.662	91.407	-0.21
	$\mathbf b$	2241.463	91.822	22	19.684	38.573	127.599	91.407	-0.45
$\mathbf V$	\mathbf{a}	2461.582	97.549	23	20.269	39.462	131.990	97.258	-0.30
	\mathbf{c}	2461.651	97.538	23	20.322	39.699	132.701	97.258	-0.29
	$\mathbf b$	2461.682	97.572	23	20.221	39.183	130.279	97.258	-0.32
Cr	\mathbf{a}	2692.784	103.578	24	20.084	34.843	99.223	103.422	-0.15
	\mathbf{c}	2692.784	103.578	24	20.084	34.843	99.223	103.422	-0.15
	$\mathbf b$	2692.784	103.578	24	20.084	34.843	99.223	103.422	-0.15
Mn	\rm{a}	2935.884	109.964	25	19.756	31.254	80.305	109.389	-0.53
	\mathbf{C}	2935.884	109.964	$25\,$	19.756	31.254	80.305	109.389	-0.53
	$\mathbf b$	2935.884	109.964	$25\,$	19.756	31.254	80.305	109.389	-0.53
Fe	\rm{a}	3188.137	115.889	26	20.896	35.304	99.074	115.628	-0.23
	\mathbf{C}	3188.138	115.889	26	20.896	35.304	99.077	115.628	-0.23
	$\mathbf b$	3188.138	115.889	26	20.896	35.304	99.078	115.628	-0.23
Co	\rm{a}	3450.992	122.428	27	20.450	31.294	79.936	122.018	-0.34
	\mathbf{c}	3450.956	122.425	27	20.448	31.277	79.870	122.018	-0.33
	$\mathbf b$	3450.928	122.410	27	20.477	31.398	80.344	122.018	-0.32
Ni	\rm{a}	3725.204	128.965	28	20.557	30.300	75.055	128.547	-0.33
	$\mathbf c$	3724.968	128.993	$\overline{28}$	20.523	$30.155\,$	74.502	128.547	-0.35
	$\mathbf b$	3725.199	128.963	28	20.561	30.316	75.117	128.547	-0.32
Cu	\mathbf{a}	4010.271	135.663	29	20.625	29.330	70.977	135.414	-0.18
	\mathbf{C}	4010.271	135.663	29	20.625	29.330	70.977	135.414	-0.18
	$\mathbf b$	4010.271	135.663	29	20.625	29.330	70.977	135.414	-0.18
\overline{z} n	\rm{a}	4308.055	142.243	30	21.578	32.332	81.967	142.025	-0.15
	\mathbf{C}	4308.055	142.243	30	21.578	32.332	$81.967\,$	142.025	-0.15
	$\mathbf b$	4308.055	142.243	30	21.578	$32.332\,$	81.967	142.025	-0.15

Table 3.15: Expectation values of Set3 with PZSIC-LSDA

System	Basis	R^{-2}	$\frac{1}{R^{-1}}$	$\overline{R^0}$	R^1	R^2	R^3	$CCSD(T)R^{-1}$	$\sqrt[n]{ErrR^{-1}}$
Sc	\mathbf{a}	2031.4243	85.7365	21	20.9839	49.5224	191.3557	85.6988	-0.04
	$\mathbf c$	2031.4243	85.7365	21	20.9839	49.5224	191.3558	85.6988	-0.04
	$\mathbf b$	2031.4082	85.7319	21	21.0003	49.6221	191.8949	85.6988	-0.04
Ti	\rm{a}	2241.0106	91.4842	22	20.9523	46.0684	168.4126	91.4069	-0.08
	$\mathbf c$	2241.0110	91.4842	22	20.9526	46.0701	168.4210	91.4069	-0.08
	$\mathbf b$	2241.0106	91.4842	22	20.9523	46.0684	168.4126	91.4069	-0.08
$\rm V$	\rm{a}	2460.8400	97.4415	23	20.5076	41.0574	142.6302	97.2585	-0.19
	\rm{c}	2461.1457	97.4096	$\overline{23}$	20.7003	41.8888	144.5877	97.2585	-0.16
	$\mathbf b$	2461.1457	97.4096	23	20.7003	41.8886	144.5865	97.2585	-0.16
Cr	\rm{a}	2691.9919	103.4631	24	20.2604	35.7787	104.4324	103.4223	-0.04
	$\mathbf c$	2691.9919	103.4631	24	$20.2604\,$	35.7787	104.4324	103.4223	-0.04
	$\mathbf b$	2691.9919	103.4631	24	20.2604	35.7787	104.4324	103.4223	-0.04
Mn	\rm{a}	2935.1003	109.9089	25	19.7733	31.3712	81.3798	109.3886	-0.48
	\mathbf{c}	2935.1003	109.9089	$25\,$	19.7733	31.3712	81.3798	109.3886	-0.48
	$\mathbf b$	2935.1003	109.9089	25	19.7733	31.3712	81.3798	109.3886	-0.48
Fe	\rm{a}	3187.3592	115.7360	26	$21.2629\,$	37.3786	110.1839	115.6285	-0.09
	$\mathbf c$	3187.3197	115.7407	26	21.2271	37.1411	108.8063	115.6285	-0.10
	$\mathbf b$	3187.3592	115.7360	26	21.2629	37.3784	110.1833	115.6285	-0.09
Co	\mathbf{a}	3449.9215	122.2790	$27\,$	20.6697	32.4123	85.7759	122.0179	-0.21
	$\mathbf c$	3449.9135	122.2632	$27\,$	20.6997	$32.5344\,$	86.2101	122.0179	-0.20
	$\mathbf b$	3450.4630	122.1559	$27\,$	21.2756	35.7565	102.2015	122.0179	-0.11
Ni	\rm{a}	3724.0448	128.8197	28	20.7414	31.2255	79.9014	128.5468	-0.21
	$\rm c$	3724.0389	128.8301	${\bf 28}$	20.7233	31.1599	79.6954	128.5468	-0.22
	$\mathbf b$	3724.0073	128.8170	28	20.7446	$31.2452\,$	80.0205	128.5468	-0.21
Cu	\rm{a}	4009.0005	135.5151	29	20.7921	30.1634	75.3807	135.4143	-0.07
	$\mathbf c$	4009.0005	135.5151	$\,29$	20.7921	30.1634	75.3807	135.4143	-0.07
	$\mathbf b$	4009.0005	135.5151	29	20.7921	30.1634	75.3807	135.4143	-0.07
Zn	\rm{a}	4306.6779	142.0925	30	21.7657	33.2731	86.6628	142.0253	-0.05
	$\mathbf c$	4306.6779	142.0925	30	21.7657	33.2731	86.6628	142.0253	-0.05
	$\mathbf b$	4306.6779	142.0925	30	21.7657	33.2731	86.6628	142.0253	-0.05

Table 3.16: Expectation values of Set3 with PZSIC-PBE

System	R^{-2}	R^{-1}	R^0	R^1	$\overline{R}{}^2$	$\overline{R}{}^3$	$CCSD(T)R^{-1}$	$\%ErrR^{-1}$
Sc	2032.4042	85.7299	21	21.0087	49.0622	184.9691	85.6988	θ
Ti	2241.9595	91.4416	22	21.1042	46.0529	162.6741	91.4069	-0.04
V	2462.2491	97.3118	23	21.1378	43.4441	146.3514	97.2585	-0.05
Cr	2692.3519	103.4387	24	20.7285	39.2733	126.7591	103.4223	-0.02
Mn	2935.8261	109.3710	25	21.6259	41.0492	127.8818	109.3886	0.02
Fe	3187.7345	115.7053	26	21.2420	36.8158	105.5405	115.6285	-0.07
Co	3450.8013	122.1410	27	21.1017	34.1761	91.6548	122.0179	-0.10
Ni	3724.8204	128.6775	28	21.1180	32.6041	83.6792	128.5468	-0.10
Cu	4009.7536	135.3693	29	21.1573	31.4709	78.9512	135.4143	0.03
Zn	4307.3830	141.9877	30	21.9013	33.4212	85.3913	142.0253	0.03

Table 3.17: Expectation values of Set3 with DFA-PBE using default basis

Table 3.18: Expectation values of Set3 with DFA-LDA using default basis

System	$\overline{R^{-2}}$	R^{-1}	R^0	R^1	$\overline{R^2}$	$\overline{R}{}^3$	$CCSD(T)R^{-}$	$\%ErrR^{-1}$
Sc	2026.9378	85.6144	21	21.1323	49.6067	186.6034	85.6988	θ
Ti	2236.6114	91.3472	22	21.1208	46.0425	162.0352	91.4069	0.07
V	2456.7819	97.1848	23	21.2271	43.8276	147.8891	97.25847	0.08
Cr	2687.4422	103.2990	24	20.8762	39.7900	127.8245	103.4223	0.12
Mn	2930.4356	109.2858	25	21.5859	40.6331	124.8207	109.3886	0.09
Fe	3182.4814	115.7050	26	21.0270	35.6005	99.0260	115.6285	-0.07
Co	3445.2653	122.0570	27	21.0822	33.9404	89.8916	122.0178	-0.03
Ni	3719.1515	128.6179	28	21.0263	32.0039	80.2297	128.5468	-0.06
Cu	4004.0955	135.2899	29	21.1243	31.1697	76.9437	135.4143	0.09
\mathbf{Z} n	4301.4832	141.9053	30	21.8653	33.1049	83.3837	142.0253	0.08

3.0.2 Results And Discussion

By comparing $\langle r^{-1} \rangle$ to the CCSD(T) value, the performance of different systems with corresponding Basis sets and functionals for $\langle r^{-1} \rangle$ in set1 through to set3 are represented using the Mean absolute error (MAE) in table [3.19,](#page-39-1) [3.20,](#page-39-2) [3.21,](#page-40-0) and [3.22](#page-40-1) below

Data Sets	Functionals	Basis Set	MAE			
Set 1	DFA-PBE	default	0.0123			
		cc-pwcvtz	0.0291			
		cc-pwcvqz	0.0141			
Set 1	PZSIC LSDA	Default	0.0545			
		cc-pwcvtz	0.0552			
		cc-pwcvqz	0.0554			
Set 1	PZSIC PBE	Default	0.0037			
		cc-pwcvtz	0.0054			
		cc-pwcvqz	0.0037			
Set 1	DFA-LDA	Default	0.0967			

Table 3.19: MAE of $\langle r^{-1} \rangle$ for Set1

Table 3.20: MAE of $\langle r^{-1} \rangle$ for Set2a

Data Sets	Functionals	Basis Set	MAE				
Set 2a	PZSIC LSDA	Default	0.0091				
		cc-pwcvtz	0.0083				
		cc-pwcvqz	0.0080				
Set 2a	PZSIC PBE	Default	0.0017				
		cc-pwcvtz	0.0044				
		cc-pwcvqz	0.0009				
Set 2a	DFA-PBE	Default	0.0186				
Set 2a	DFA-LDA	Default	0.0978				

Data Sets	Functionals	Basis Set	MAE				
Set 2b	PZSIC LSDA	Default	0.0052				
		cc-pwcvtz	0.0049				
		cc-pwcvqz	0.0050				
Set 2b	PZSIC PBE	Default	0.0015				
		cc-pwcvtz	0.0003				
		cc-pwcvqz	0.0006				
Set 2b	DFA-PBE	Default	0.0131				
Set 2b	DFA-LDA	Default	0.0804				

Table 3.21: MAE of $\langle r^{-1} \rangle$ for Set2b

Table 3.22: MAE of $\langle r^{-1} \rangle$ for Set3

Data Sets	Functionals	Basis Set	MAE
Set 3	PZSIC LSDA	Default	0.2891
		cc-pwcvtz	0.2911
		cc-pwcvqz	0.3125
Set 3	PZSIC PBE	Default	0.1669
		cc-pwcvtz	0.1636
		cc-pwcvqz	0.1506
Set 3	DFA-PBE	Default	0.0566
Set 3	DFA-LDA	Default	0.0875

The density analysis for $\langle r^{-1} \rangle$ in Set1 to Set3 is represented in Table [3.19,](#page-39-1) [3.20,](#page-39-2) [3.21,](#page-40-0) and [3.22.](#page-40-1) The MAE for the different data sets is small, indicating small deviation for any KS density functional tested in different data sets. PZSIC PBE gives the least deviation from the CCSD(T) reference value for $\langle r^{-1} \rangle$ and this is true for **Set1**, **Set2a** and **Set2b**

The long-range moments of densities; $\langle r^1 \rangle$, $\langle r^2 \rangle$, and $\langle r^3 \rangle$ MAE could not be calculated as CCSD(T) reference values for those were not available, this is the same for $\langle r^{-2} \rangle$. The $\langle r^0 \rangle$ is the zero moments of the density which corresponds to the charge (atomic numbers) of the systems. The $\langle r^0 \rangle$ tested for all data sets were accurate and corresponded to the charge (atomic numbers) of the systems.

Data set Set2a and Set2b are hardly representative for most problems of $\langle r^n \rangle$ as there are too few electrons and too much dependence on charges and FOD's were more localized

in the core regions. Nonetheless, they were studied and MAE for $\langle r^{-1} \rangle$ is presented in table [3.20](#page-39-2) and [3.21.](#page-40-0)

In Set1, DFA-PBE performs better than PZSIC-LSDA while PZSIC-PBE has the least deviation from the CCSD(T) reference value. But in Set3, DFA-PBE and DFA-LDA with the default NRLMOL basis set performed better than PZSIC PBE and PZSIC LSDA.

The radial distribution of the closed shell systems (Neon and Zinc); compared the performance of the density functional approximation DFA-PBE and the PZSIC-PBE, as represented by the graphs below fig [3.1](#page-41-0) and fig [3.2.](#page-42-0)

Figure 3.1: Radial Distribution of Ne with DFA-PBE and PZSIC-PBE using Default Basis

Figure 3.2: Radial Distribution of Zn with DFA-PBE and PZSIC-PBE using Default Basis

3.0.3 Summary

The accuracy of PZSIC and KS densities was investigated using the moment of densities from short-range to long-range regions. Three sets of data sets were investigated using LDA, PBE, PZSIC PBE, and PZSIC LSDA with different basis sets for all data set and functionals tested. The performance of the different functionals as represented in the radial distribution, indicated that the performance of different functionals were the same. The MAE of functionals with tested basis sets shows that basis sets has little or no influence on the accuracy of KS densities as the deviation of each basis sets and functionals were small in fraction of fractions. In Set1 through set2b, PZSIC-PBE with the least MAE shows the greatest accuracy while for transition metals in set3, DFA-PBE has the least MAE against the trend in set1 through set2b.

Chapter 4

Frozen Density Assessment of the Locally Scaled and Perdew-Zunger Self-interaction-Corrected Density Functional Approximations

A curious side effect of SIC-DFT calculations is the appearance of the so-called paradox of SIC, in which SIC tends to improve the accuracy of some energetic predictions, while degrading others. In particular, SIC-DFT tends to worsen equilibrium molecular properties of molecules and solids such as atomization energies and equilbrium geometries, and this degradation of accuracy only increases as a DFT calculation climbs Jacob's ladder and SIC is applied to semilocal and hybrid XC functionals. On the other hand, SIC-DFT performs well for nonequilibrium geometries such as stretched molecular bonds.

In 2019, Zope and coworkers were able to partially resolve the paradox by implementing a more selective SIC referred to as local-scaling SIC (LSIC) [\[19\]](#page-55-8). Fundamentally, LSIC corrects SIE in the same orbital wise fashion as PZSIC:

Zope and co-workers uses a pointwise iso-orbital indicator to identify the one-electron self-interaction regions in the many-electron system and to determine the magnitude of SIC in the many-electron regions[\[19,](#page-55-8) [43,](#page-59-1) [44\]](#page-59-2).

$$
E_{XC}^{LSIC-DFA} = E_{XC}^{DFA}[\rho, \rho] - \sum_{i} (U^{LSIC}[\rho_{i\sigma}] - E_{XC}^{LSIC}[\rho_{i\sigma,0}])
$$
(4.0.1)

However, the correction is scaled throughout space in such a way that it is only applied in regimes where it is actually needed $[19]$.

$$
U^{LSIC}[\rho_{i\sigma}] = \frac{1}{2} \iint dr_1 dr_2 \frac{(z_{\sigma}(r_1))^k \rho_{i\sigma}(r_1) \rho_{i\sigma}(r_2)}{r_{12}} \tag{4.0.2}
$$

$$
E_{XC}^{LSIC}[\rho_{i\sigma,0}] = \int dr (z_{\sigma}(r_1))^k \rho_{i\sigma}(r) \epsilon_{XC}^{DFA}([\rho_{i\sigma,0}], r)
$$
(4.0.3)

The titular local-scaling comes in the form of the factor z_{σ} which is defined as the ratio of the von Weizsacker kinetic energy density and the Kohn-Sham kinetic energy density[\[3,](#page-54-2) [43,](#page-59-1) [44,](#page-59-2) [45\]](#page-59-3).

$$
z_{\sigma} \equiv \frac{\tau_{\sigma}^{w}(r)}{\tau_{\sigma}(r)} \tag{4.0.4}
$$

The noninteracting (Kohn-Sham) kinetic energy density is given as

$$
\tau_{\sigma}(r) = \frac{1}{2} \sum_{i} |\nabla \psi_{i\sigma}(r)|^{2}
$$
\n(4.0.5)

and the von Weiszacker kinetic energy density is the single-orbital limit of $\tau_{\sigma}(r)$

$$
\tau_{\sigma}^{w}(r) = \frac{|\nabla \rho_{i\sigma}(r)|^2}{8\rho_{\sigma}(r)}
$$
\n(4.0.6)

By definition, $z_{\sigma} \epsilon [0, 1]$, where $z_{\sigma} = 0$ corresponds to uniform densities, and $z_{\sigma} = 1$ to one-electron densities. For this reason, z_{σ} is called an iso-orbital indicator, as it detects single-orbital regions of the electron density. The exponent k is a tunable parameter. In particular, $k = 0$ disables the scaling completely and recovers the PZSIC limit, while $k \to \infty$ reduces to a standard DFA by zeroing out SIC entirely. The simplest choice of $k = 1$ interpolates smoothly between the uniform density and one-electron limits [\[3\]](#page-54-2).

4.0.1 Computational Details

The LSIC methods $|43, 44, 45, 19, 3|$ $|43, 44, 45, 19, 3|$ $|43, 44, 45, 19, 3|$ $|43, 44, 45, 19, 3|$ $|43, 44, 45, 19, 3|$ $|43, 44, 45, 19, 3|$ $|43, 44, 45, 19, 3|$ using FLO's are implemented in the developmental version of the FLOSIC code $[46, 45]$ $[46, 45]$ $[46, 45]$. The FLOSIC code is based on the UTEP-NRLMOL code, which itself is a modernized version of legacy NRLMOL (FORTRAN 77) code $|47|$ with many additional new capabilities $[44]$. We used the NRLMOL default basis set throughout our calculations.

In this work, we compare the performance of PZSIC and LSIC using frozen densities of different functionals and the Hatree Fock. FOD's from different functionals were optimized over the frozen densities for PZSIC and LSIC respectively and results were compared using different properties for PZSIC and LSIC. The self-consistency in the PZSIC calculations is obtained using Jacobi-like iterative procedure[\[43\]](#page-59-1). For LSIC calculations, we used the respective DFA densities and PZSIC FODs as a starting point.

The geometries used in our calculations are the same as in the respective databases and no further optimizations were performed. We used the SCF energy convergence criteria of $10^{-6}Eh$ for the total energy and an FOD force tolerance of $10^{-3}Eh$ per bohr for FOD optimizations in FLOSIC calculations[\[43\]](#page-59-1). To assess the performance of LSIC in comparison with PZSIC using frozen density calculations, we have calculated the atomization energies, barrier heights, etc.

4.0.2 Results And Discussion

We assessed the performance of LSIC and PZSIC using the wide array of electronic properties. We consider total energies, atomization energies, and the reaction barrier heights for molecules. The results are presented in this section.

Total Energies of Atoms

We compared the total atomic energies of the atoms $Z = 1$ –18 against accurate nonrelativistic values reported by Chakravorty et al [\[48\]](#page-59-6). The deviation of our calculation from the reference value is represented in table [4.1](#page-47-0) and also shown in fig [4.1](#page-48-0)

Method	FOD's	Densities	MAE (E_h)
PZSIC	LSDA	HF	0.373
	LSDA	LSDA	0.370
	LSDA	PBE	0.375
	LSDA	SCAN	0.374
	PBE	ΗF	0.166
	PBE	LSDA	0.171
	PBE	PBE	0.165
	PBE	SCAN	0.165
LSIC	LSDA	HF	0.042
	LSDA	LSDA	0.041
	LSDA	PBE	0.039
	PBE	LSDA	0.039
	LSDA	SCAN	0.039
	SCAN	LSDA	0.042
DFA.		PBE	0.083
		LSDA	0.726
		SCAN	0.019

Table 4.1: MAE of atomic total energies (in E_h)

Table [4.1](#page-47-0) compared the total atomic energy from hydrogen through argon using LSIC and PZSIC methods, the deviation from the reference value in [\[48\]](#page-59-6) were presented using the Mean Absolute Error (MAE). The MAE in PZSIC methods ranges from $0.165E_h$ to $0.375E_h$, this is comparable to the result obtained by Yamamoto et al in [\[44\]](#page-59-2) where PZSIC-PBE, PZSIC-SCAN and PZSIC-LSDA have MAE's of 0.159, 0.147 and 0.381 E_h respectively. Yamamoto et al presented result for LSIC-LSDA pertubative, and LSIC-LSDA SCF, and SCF FOD optimized with MAE's of $0.041, 0.040$ and $0.040E_h$ respectively, and this is consistent with our results in table [4.1.](#page-47-0) Overall, LSIC methods predict accurately the total energies of atoms more than PZSIC methods, this might be part of the paradox of SIC as PZSIC might not accurately correct SIE. LSIC-LSDA optimized over PBE densities, LSIC-PBE optimized over LSDA densities, and LSIC-LSDA optimized over the SCAN densities, all have the least and same MAE of $0.039E_h$. These methods are sufficient to get good estimates of atomic energies.

Figure 4.1: Total energies of atoms in E_h compared against reference values

Atomization Energies

We studied the performance of LSIC on atomization energies using the AE6 set[\[49\]](#page-59-7) of molecules. The AE6 set is part of the Minnesota Database and is often used for benchmarking the performance of density functional approximations for atomization energies[\[44\]](#page-59-2). The AE6 set is composed of six molecules: SiH_4 , S_2 , SiO , C_3H_4 (propyne), $HCOCOH$ (glyoxal), and C_4H_8 (cyclobutane). The atomization energy E_A is obtained as the energy difference of the sum of fragment atomic energies $E_{fragments}^i$ and the complex energy $E_{complex}$ as follows:

$$
E_A = \sum_i E_{fragments}^i - E_{complex} \tag{4.0.7}
$$

The MAE's are summarized in Table [4.2.](#page-50-0) Frozen density calculation with LSIC methods gave better performance than the PZSIC method. These results were compared with previously obtained results from the Electronic structure lab as reported in the publication reference [\[44\]](#page-59-2). Our results in table [4.2](#page-50-0) is comparable with results in [\[44\]](#page-59-2). Yamamoto et al result indicated LSIC-LSDA, quasi-SCF method with MAE 6.57 kcal/mol, in table [4.2,](#page-50-0) LSDA density optimized over LSIC-LSDA FOD's performed better than other LSIC methods with MAE 9.67kcal/mol.

Method	FOD's	Densities	MAE (Kcal/mol)
PZSIC	LSDA	HF	57.46
	LSDA	LSDA	58.00
	LSDA	PBE	57.55
	LSDA	SCAN	81.14
	PBE	HF	19.23
	PBE	LSDA	21.33
	PBE	PBE	21.72
	PBE	SCAN	20.79
LSIC	LSDA	HF	10.06
	LSDA	LSDA	9.67
	LSDA	PBE	10.39
	PBE	LSDA	9.75
	LSDA	SCAN	28.23
	SCAN	LSDA	10.82
DFA		PBE	13.43
		LSDA	74.26
		SCAN	2.85

Table 4.2: MAE of the AE6 set of atomization energy

Barrier Heights

An accurate description of chemical reaction barriers is challenging for DFAs since it involves the calculation of energies in non-equilibrium situations (i.e. at stretched bond distances). In most cases, the saddle point energies are underestimated since DFAs do not perform well for a non-equilibrium state that involves a stretched bond. This shortcoming of DFAs in a stretched bond case arises from SIE; when an electron is shared and stretched out, SIE incorrectly lowers the energy of transition states. SIC handles the stretched bond states accurately and provides a correct picture of chemical reaction paths. We studied the reaction barriers using the BH6 set of molecules for the LSIC and PZSIC method[\[43\]](#page-59-1).

We used the BH6 set [\[49\]](#page-59-7) of reactions to study the LSIC and PZSIC performance on barrier heights[\[44\]](#page-59-2). BH6 is a representative subset of the larger BH24[\[50\]](#page-60-0) set consisting of three hydrogen transfer reactions

1. $OH + CH_4 \rightarrow CH_3 + H_2O$

- 2. $OH + H \rightarrow O + H_2$
- 3. $H + H_2S \rightarrow HS + H_2$

For each reaction, single point energies of the left- and right-hand side and saddle point of the reactions were calculated, and then forward and reverse barrier heights were computed $[43, 44]$ $[43, 44]$ $[43, 44]$. The barrier heights for the forward (f) and reverse (r) reactions were obtained by taking the energy differences of their corresponding reaction states $[43]$. The mean absolute errors (MAEs) of computed barrier heights are compared against the reference values[\[49\]](#page-59-7) in table [4.3](#page-51-0)

Method	FOD's	Densities	MAE (Kcal/mol)
PZSIC	LSDA	HF	4.7
	LSDA	LSDA	14.02
	LSDA	PBE	3.23
	LSDA	SCAN	3.69
	PBE	ΗF	5.64
	PBE	LSDA	14.91
	PBE	PBE	5.60
	PBE	SCAN	5.44
LSIC	LSDA	HF	2.80
	LSDA	LSDA	7.16
	LSDA	PBE	1.36
	PBE	LSDA	1.41
	LSDA	SCAN	1.18
	SCAN	LSDA	1.37
DFA		PBE	9.6
		LSDA	17.6
		SCAN	7.9

Table 4.3: MAE of the BH6 set of barrier heights

Most PZSIC calculations improve the barrier heights for semilocal functionals except for PZSIC-LSDA optimized with LSDA density, and PZSIC-PBE optimized with LSDA density have 14.02kcal/mol and 14.91kcal/mol as their respective MAE's. Application of LSIC raises barrier height and further reduces the MAE to as low as 1.18kcal/mol for LSIC-LSDA optimized with SCAN density. We note that LSIC barrier heights are not necessarily between those predicted by DFA and PZSIC-DFA's since energy shifts vary for reactants, products, and transition states. It is also noted that LSIC-LSDA FOD's with PBE density and LSIC-SCAN FOD optimized with LSDA density perform very similarly.

4.0.3 Summary

To summarize, in this work, we present frozen density calculations with LSIC and PZSIC methods. Performance assessment using standard benchmark database has been carried out on total energies, atomization energy, and barrier heights of reaction on standard data sets of molecules. Our results were compared with previously obtained results from the UTEP electronic structure laboratory. The result shows that LSIC performs better than PZSIC for all properties (total energies, atomization energies, and barrier heights) investigated.

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Curriculum Vitae

Philip Adeniyi Oyedele was born in Iwo, Osun State, Nigeria. He completed his Bachelor of Science in Physics with a first-class degree from Ahmadu Bello University (ABU), which is a top ranked prestigious university of in Nigeria. He joined the University of Texas at El Paso in Fall, 2021 as a physics graduate student.

In the summer 2022, he interned with the Department of Physics at the University of Texas in Arlington as a Research Assistant under the supervision of Dr. Ben Jones where he worked on the Camera Read-out and Barium Tagging project.

He got the opportunity to work as a Teaching Assistant and became a member of the Electronic Structure research group under the supervision of Dr. Rajendra Zope in the Physics Department at the University of Texas at El Paso. His research is major on; Studies on Atomic and Molecular Properties Using Locally Scaled and Perdew-Zunger Self-Interaction Corrected Density Functional Approximations.

Upon graduation from UTEP with a Master of Science degree, he's determined to study further and hone his research skills in condensed matter physics by transiting to a Ph.D. program at the University of Texas at Arlington.

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